1

METHOD FOR SENDING MESSAGES OVER SECURE MOBILE COMMUNICATION LINKS

TECHNICAL FIELD

The invention is concerned with methods for sending messages over mobile communication links, especially secure mobile communication links.

TECHNICAL BACKGROUND

An internetwork is a collection of individual networks connected with intermediate networking devices and functions as a single large network. Different networks can be interconnected by routers and other networking devices to create an internetwork.

A local area network (LAN) is a data network that covers a relatively small geographic area. It typically connects workstations, personal computers, printers and other devices. A wide area network (WAN) is a data communication network that covers a relatively broad geographic area. Wide area networks (WANs) interconnect LANs across normal telephone lines and, for instance, optical networks; thereby interconnecting geographically disposed users.

There is a need to protect data and resources from disclosure, to guarantee the authenticity of data, and to protect systems from network based attacks.

The IP security protocols (IPSec) provides the capability to secure communications between arbitrary hosts, e.g. across a LAN, across private and public wide area networks (WANs) and across the internet.

IPSec can encrypt and/or authenticate traffic at IP level. Traffic going in to a WAN is typically compressed and encrypted and traffic coming from a WAN is decrypted and

decompressed. IPSec is defined by certain documents, which contain rules for the IPSec architecture. The documents that define IPSec, are, for the time being, the Request For Comments (RFC) series of the Internet Engineering Task Force (IETF), in particular, RFCs 2401-2412.

Security association (SA) is a key concept in the authentication and the confidentiality mechanisms for IP. A security association is a one-way relationship between a sender and a receiver that offers security services to the traffic carried on it.

A security association is uniquely identified by parameters of which the first one, the Security Parameters Index (SPI), is a bit string assigned to this SA. The SPI enables the receiving system to select the SA under which a received packet will be processed. IP destination address is the second parameter, which is the address of the destination end point of the SA, which may be an end user system or a network system such as a firewall or a router. The third parameter is the secure protocol being used, i.e., ESP or AH.

The term IPsec connection is used in what follows in place of an IPSec bundle of one or more security associations, or a pair of IPSec bundles – one bundle for each direction – of one or more security associations. This term thus covers both unidirectional and bi-directional traffic protection. There is no implication of symmetry of the directions, i.e., the algorithms and IPSec transforms used for each direction may be different.

Several networks, for instance many corporate and Internet Service Provider (ISP) networks, use so-called private IP addresses. These are addresses internal to the network in question, and which cannot be used in the Internet. The motivation is usually to conserve the use of public IP addresses, the number of which is limited by the IP protocol specification. If a message is sent from such a private network to a computer outside the private network, e.g. to the public Internet, the private sender address needs to be translated into the public IP address space used in the Internet. This translation is referred to as the Network Address Translation (NAT) algorithm. A

more comprehensive version of message address translation encompasses not only IP address fields, but also transport protocol fields, such as TCP or UDP ports. This is done because the Transmission Control Protocol, TCP, and the User Datagram Protocol, UDP, are widely deployed transport layer protocols that are generally used

5   with IP, and the TCP and UDP port number translations enable several sessions to be multiplexed to a single public IP address. Such translations are often called Network Address Port Translation (NAPT). The term NAT, in this document, refers to all such translation methods.

10   Some NAT algorithms support only a subset of existing IP-based protocols. That is, if a protocol that is not supported by a given NAT device is used, the traffic using that protocol will be blocked by the device. The same applies if an ordinary intermediate host, e.g. a router, is configured to block certain types of traffic. In such cases, the message can be encapsulated in another protocol that passes through such

15   intermediate computers. Tunnelling is one method for encapsulating packets of a given protocol into packets of another protocol, in order to overcome such limitations posed by the intermediate computers. The tunnelling is applied at the so-called entry point of the tunnel, while the reverse, i.e. uncovering the original packet, is done at the so-called exit point of the tunnel.

20

NAT traversal technologies are used to (1) determine whether a NAT device (or several NAT devices) exist in the route between two communicating hosts, and to (2) perform necessary encapsulation to overcome the NAT translations. There might also be other translations, such as protocol translations, performed by a NAT device or

25   another intermediate device. For instance, IPv4 may be translated to IPv6, and vice versa.

When secure messages are desired to be sent from a network requiring NAT translations, the IPSec protocols can be used. There exist several solutions for IPSec

30   NAT traversal. The Internet Engineering Task Force (IETF) is currently in the process of standardising a solution for IPSec NAT traversal.

4

Even though IPsec provides a strong security solution that already supports traversal through NAT devices, IPsec is static in nature. If either of the hosts sharing an IPSec SA are mobile, i.e. a host moves from one IP network to another, a new security association must be set up each time such movement takes place. This usually

5   involves an IKE protocol execution.

Such an IKE protocol execution involves several round trips in order to set up a new SA for the new network used. In a known IPSec NAT traversal method, developed in the IETF, the key exchange phase requires the use of IKE main mode, which consists

10   of six messages, followed by IKE quick mode, which consists of three messages, for a total of nine messages. If the IKE aggressive mode, which consists of three messages, is used instead of main mode, a total of six messages are required.

There are several disadvantages of this solution. Firstly, the round trip times may be

15   considerable if there is a considerable routing latency between the new network and the other IPSec endpoint. The latency between two communicating nodes is usually measured in terms of round trip delay (also called round trip latency). A round trip latency is a measure of the time it takes for a packet to be sent from one communicating node to another, and for the other node to send a reply packet back to

20   the sender.

For instance, if the network has a round trip latency of 500 ms, which is not unusual, and IKE main mode is used, the total network latency for an IPSec connection setup is 500 x (9 / 2) ms = 2250 ms. This may be unacceptable for some mobile applications.

25   Secondly, the IKE key exchange requires the use of several computationally expensive algorithms, such as the Diffie-Hellman algorithm, and possibly the RSA signature and signature verification algorithms. Such algorithms may require considerable computation for mobile devices, which may be limited in their processing power.

30

THE OBJECT OF THE INVENTION

5

The object of the invention is a method for sending messages over mobile communication links, which supports protocol traversal and secure mobile links.

SUMMARY OF THE INVENTION

The method of the invention for sending messages over secure communication links is used in networks comprising at least one mobile terminal and at least one other terminal. There might be intermediate computers between the mobile terminal and the other terminal that perform network address translation and/or other translations. A secure communication link is established between a given initial network address of the mobile terminal and the address of the other terminal. In the method of the invention, the secure communication link defines at least the addresses of the two terminals. Furthermore, the secure communication link supports some method, e.g. an encapsulation method to overcome network address translations and/or other translations. When the mobile terminal moves from an initial network address to a new network address, a request message is sent from the mobile terminal to the other terminal to change the secure connection to be between the new address of the mobile terminal and the other terminal. The request is sent using said method to overcome network translations, e.g. an encapsulation method. The request also contains information to enable the other terminal to detect the existence and nature of translations performed by possible intermediate computer(s). The request also indicates the overcoming methods supported by the mobile terminal. The other terminal responds to the mobile terminal with a reply message with a description about the overcoming methods, such as encapsulations, supported by the other terminal and/or about possible translations made by intermediate computer(s) situated between the other terminal and the new address of the mobile terminal. All messages are thereafter sent from the mobile terminal to the other terminal by using the information sent with said reply.

The term mobile terminal here does not necessarily indicate physical mobility. Rather, the mobile terminal is considered mobile if it changes its method of network access. This does not necessarily require physical mobility.

5   Some advantageous embodiments are described in the following.

The term registration request (RREQ) is used for the message used by the mobile terminal to request a change in the address of the secure connection. The term registration reply (RREP) is used for the message that the other terminal uses to reply

10   to a registration request. These two messages are preferably similar to the messages of the same name used in the Mobile IP standard, but their precise contents are not essential to the invention.

Essential in the invention is the fact that when a mobile terminal moves to a new

15   address and sends a registration request message (RREQ) to the other terminal, it does not know which protocols or rules are usable in the new communication link. It does not even know if there are any intermediate computers in the new communication link that perform e.g. Network Address Translation (NAT) and/or other translations.

20   The request message is therefore in a preferable embodiment sent using such encapsulation protocols, that is considered the most general and is supported by as many converting intermediate computers, such as NAT devices, as possible. This means that the registration request message is sent by the mobile terminal e.g. in a way that uses the "best possible" method of traversal available (e.g. UDP or TCP

25   tunnelling as encapsulation method). This increases the chance that the RREQ always reaches the other terminal, regardless of the possibly existing NAT devices in the route between the mobile terminal and the other terminal.

The RREQ includes a description of the message that, generally, indicates the address

30   of the mobile terminal and the encapsulation protocols including parameters used therein. On the basis of the description, the other terminal can determine whether for

example any intermediate devices have modified the (packet) message on route or not.

The exact information included in the description of the message depends on the nature of the intermediate computer(s) and the encapsulation mode, but usually, the information includes the source and destination IP addresses used in the outermost header of the message. Furthermore, transport layer sub-addressing information might be included, such as TCP and UDP ports. Encapsulation methods other than the above examples require entirely different encapsulation information. For instance, an encapsulation method based on encapsulating messages inside HyperText Transfer Protocol (HTTP) messages requires entirely different encapsulation information. However, the general idea remains the same.

Thus, the registration request includes information of how the message was encapsulated by the mobile terminal prior to sending. For instance, if UDP encapsulation is used, the request includes typically the following fields:
- IP source address
- IP destination address
- UDP source port
- UDP destination port

NAT devices would typically manipulate the IP source address and possibly the UDP source port, but also the destination address and port fields can be manipulated. However, the devices would not manipulate the copies of these fields, sent inside the request message, which thus serve as a method for detecting such changes.

After receiving of the request message by said other terminal, the other terminal may determine by examining the request, which translations and/or encapsulations have been performed by devices situated between the mobile terminal and the other terminal.

The terminal then sends a registration reply message containing information about the

8

communication link to be used between the new address of the mobile terminal and said other terminal and which is supported by the other terminal. The message may include information about the NAT translations, protocol translations, and other translations detected by the other terminal, and parameters related to such

5   translations. The message may also include an indication of which encapsulation method(s) should be used for communication through the new communication link, and their parameter(s). The reply message is sent using an encapsulation method, preferably using the same encapsulation message as was used for the request message. The encapsulation method(s) used, and their parameters can, in one

10   embodiment, be included in the reply message to enable the mobile terminal to independently detect the translations in the same way as the description of the message earlier was included in the request message.

The other terminal detects the translations performed in the registration request

15   message e.g. by comparing the actual header fields in the message to those fields which, prior to the sending, was included in the message and which are not translated. Examples of fields to be compared are the outer IP header and/or the UDP header, if UDP encapsulation was used. A discrepancy in the fields indicates translation by one or more intermediate computer(s). The nature of the translation, e.g. whether an

20   address or address-and-port translation was performed, can be similarly detected by comparing fields.

When sending its registration reply, the other terminal indicates whether NAT traversal is required, based on the detected discrepancy of fields discussed above. That is, the

25   other terminal determines what encapsulation method should be used.

In said another alternative, in which an encapsulation method(s) is used, wherein the parameters, was included in the reply message to enable the mobile terminal to independently detect the translations, the mobile terminal may itself determine the

30   need for traversal, and choose what encapsulation method is to be used.

If the mobile terminal notices that both its own and the other terminal's views of the exchanged packets are the same, it is an indication on that NAT devices do not exist on the route, and NAT traversal is not necessary. Alternatively, according to the first mentioned embodiment, the other terminal may detect that no translations were
5   performed, and indicate to the mobile terminal that encapsulation is unnecessary.

If there appear to be no NAT devices on the route, the mobile terminal may drop the NAT traversal directly, although in rare situations the connection may still not work without traversal. For instance, packet filtering routers, also known as firewalls, may
10   prevent communication using some protocols while allowing communication with other protocols, while still not doing protocol translations. For this reason, they cannot generally by detected on the basis of packet modifications. Instead, some protocols simply either go through them, or do not go through them. This may cause the registration message(s) to go through the firewall(s), while actual traffic after
15   registration may not go through them.

The mobile terminal should preferably detect this occurrence, and switch back to an encapsulation mode, preferably to the one used for registration and which is already known to go through the intermediate computer(s). Alternatively, the mobile terminal
20   may send a *probe packet* that is not encapsulated using the method used in the registration, and if the other terminal replies to the probe, it is a strong – but not certain – indication on that un-encapsulated traffic is allowed by the intermediate computer(s). When such a probing protocol is used, data traffic can be sent using this encapsulation method until the results of the probing are known. In case the probing is allowed by
25   the firewall(s) but some particular protocol used in the data traffic is not, the mobile terminal should preferably switch back to the first encapsulation mode.

The secure connection is preferably formed using the IPSec protocol, whereas the messages in the communication are sent using IPSec and possibly a NAT traversal
30   encapsulation, preferably UDP or TCP encapsulation of IPSec packets. In the invention, the secure connection can be updated efficiently to the new network address of the mobile terminal. The messages can be sent without NAT traversal or other

changes in the communication link if, on the basis of the comparison made by the mobile terminal, the descriptions of the messages correspond to each other or if it is informed by the other terminal that encapsulation to overcome NAT and/or other translations is unnecessary. Even in that case it might be so that encapsulation is

5   performed anyway to ensure that undetectable translations and/or packet filtering do not prevent traffic from being sent.

The secure communication link that is established between the mobile terminal and the other terminal is, as was already stated by means of the IPSec protocol, is called

10   IPSec connection in this text. Thus, the request sent from the mobile terminal contains information about the new connection to be used.

For forming the IPSec connection, a key exchange mechanism that passes through NAT is used. If the intermediate computer(s) allow the UDP protocol, possibly doing

15   UDP port translation, the IKE key exchange protocol can be used with very minor modifications. Any key exchange protocol can be easily made to go through NAT devices by using a proper encapsulation protocol – or set of protocols – for key exchange message encapsulation. It is also feasible to use several encapsulation mechanisms simultaneously to increase the chance that at least one of them passes

20   through the intermediate computer(s).

In the invention, an application connection can e.g. be established between the mobile terminal and a host connected to the other terminal (in which case the host is referred to as "the other terminal" in the text) or directly between the mobile terminal and the

25   other terminal. The IPsec connection is between the mobile terminal and the other terminal. In the foregoing case, tunnel mode is used in the IPSec communication, whereas in the latter case, transport mode can be used. However, IPSec tunnel and transport mode are interchangeable. IPSec transport mode can be replaced by IPSec tunnel mode, and IPSec tunnel mode can be replaced by IPSec transport mode and

30   possibly a tunnelling protocol, such as the Layer 2 Tunnelling Protocol (L2TP).

11

In a further embodiment, several request messages can be sent, each processed using a different traversal mechanism (e.g. UDP NAT traversal, TCP NAT traversal, HTTP tunnelling, etc), where after the other terminal indicates in the reply which methods is to be used in the further communication.

5

The data packets that follow are encapsulated using a traversal mechanism that is either chosen beforehand, or negotiated dynamically in the registration request / registration reply exchange. In that case, NAT traversal is used unconditionally for the registration request / registration reply exchange and the traversal is disabled if it

10   seems that it is unnecessary.

The advantages of the method of the invention are that:

The NAT traversal detection is independent of the keying mechanism (IKE) and that

15   the NAT traversal state, i.e. whether traversal is disabled or enabled, and other associated parameters, is not a *static* part of the IPSec connection, but can instead be modified using the RREQ/RREP message exchange to suit a new connection point.

The handover, i.e. a connection from one network address to another, requires half a

20   roundtrip for the server-to-client data to start flowing, and one roundtrip for the client-to-server data to start flowing. Compared to standard IPsec, this is a major improvement, enabled by the changeable nature of the modified IPsec SA described in the application, and the registration procedure that detects and reacts to NAT devices in a single round trip.

25

FIGURES

Figure 1 is an example of a network wherein the method of the invention can be

30   applied.

12

Figure 2 is a signalling diagram illustrating an embodiment of the method of the invention.

DETAILED DESCRIPTION

Figure 1 describes an example of a network wherein the method of the invention can be applied. Thus, to the network belongs a mobile terminal 1 that has an IPSec SA, here called IPSec SA 1, established between the mobile terminal 1 and another terminal 2, the IPSec SA 1 supporting the translations made by NAT 1. When the mobile terminal 1 moves to another address indicated by the arrow a new IPSec SA 2 has to be established between the terminal 1' (the same mobile terminal but marked with 1' as it has moved) and the other terminal 2. The NAT 2 device might support other protocol translations than NAT 1.

In the invention, IPSec SA 2 is established by modifying the existing IPSec SA 1 using a signalling mechanism. In the prior art method, IPSec SA 2 is established either manually or using some automated key exchange protocol, such as IKE, with the disadvantages stated previously.

The example of the method of the invention described in figure 2 takes place in a network of figure 1. The mobile terminal is in figure 2 called X and the other terminal is called Y.

In figure 2, there is first established an IPSec SA connection between the mobile terminal X and the other terminal Y. The signalling exchange for instance the IKE protocol execution is indicated by reference 1 in figure 2. In all communication between X and Y, NAT translations are performed by the NAT1 device and the IPSec connection formed supports NAT traversal to overcome these translations.

When the mobile terminal X moves to another address indicated by step 2 in figure 2, it performs a registration request/registration reply exchange with Y to indicate to Y that

13

a new IPSec SA (IPSec SA 2) is to be used for future packets destined to Y and to determine whether traversal is needed or not in the future communication.

5   It is assumed that X does not know anything about NAT2, not even if such a device exist in the route or not. The request message is indicated in figure 2 by the fields IP/UDP/ESP/IP/RREQ indicating that IPSec ESP protection is applied and UDP encapsulation to assert NAT traversal mechanism to overcome possible NAT translations made by NAT 2. This message is sent in step 3 through NAT 2 which makes translations in step 4.

10

In step 5, the message is forwarded to host Y after translation in NAT 2. In steps 3 - 8, X establishes IPSec SA 2 by means of the signalling messages. A reply message is sent back from Y in step 6 with information about whether NAT traversal is needed for this connection point. In NAT 2, address and other translation takes place as usual in

15   step 7 and the message after that goes further to the mobile terminal X in step 8.

Figure 2 uses IPSec ESP tunnel mode as an example, but any other IPSec connections may be used, for instance IPSec in transport mode.

20

25